

# Cryptography and Network Security

Third Edition  
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# Chapter 8 – Introduction to Number Theory

*The Devil said to Daniel Webster: "Set me a task I can't carry out, and I'll give you anything in the world you ask for."*

*Daniel Webster: "Fair enough. Prove that for  $n$  greater than 2, the equation  $a^n + b^n = c^n$  has no non-trivial solution in the integers."*

*They agreed on a three-day period for the labor, and the Devil disappeared.*

*At the end of three days, the Devil presented himself, haggard, jumpy, biting his lip. Daniel Webster said to him, "Well, how did you do at my task? Did you prove the theorem?"*

*"Eh? No . . . no, I haven't proved it."*

*"Then I can have whatever I ask for? Money? The Presidency?"*

*"What? Oh, that—of course. But listen! If we could just prove the following two lemmas—"*

**—The Mathematical Magpie, Clifton Fadiman**

# Prime Numbers

- prime numbers only have divisors of 1 and self
  - they cannot be written as a product of other numbers
  - note: 1 is prime, but is generally not of interest
- eg. 2,3,5,7 are prime, 4,6,8,9,10 are not
- prime numbers are central to number theory
- list of prime number less than 200 is:

```
2 3 5 7 11 13 17 19 23 29 31 37 41 43 47 53 59
61 67 71 73 79 83 89 97 101 103 107 109 113 127
131 137 139 149 151 157 163 167 173 179 181 191
193 197 199
```

# Prime Factorisation

- to **factor** a number  $n$  is to write it as a product of other numbers:  $n = a \times b \times c$
- note that factoring a number is relatively hard compared to multiplying the factors together to generate the number
- the **prime factorisation** of a number  $n$  is when its written as a product of primes

– eg.  $91 = 7 \times 13$  ;  $3600 = 2^4 \times 3^2 \times 5^2$       $a = \prod_{p \in P} p^{a_p}$

# Relatively Prime Numbers & GCD

- two numbers  $a, b$  are **relatively prime** if have **no common divisors** apart from 1
  - eg. 8 & 15 are relatively prime since factors of 8 are 1,2,4,8 and of 15 are 1,3,5,15 and 1 is the only common factor
- conversely can determine the greatest common divisor by comparing their prime factorizations and using least powers
  - eg.  $300=2^1 \times 3^1 \times 5^2$   $18=2^1 \times 3^2$  hence GCD  
 $(18, 300) = 2^1 \times 3^1 \times 5^0 = 6$

# Fermat's Theorem

- $a^{p-1} \bmod p = 1$ 
  - where  $p$  is prime and  $\gcd(a, p) = 1$
- also known as Fermat's Little Theorem
- useful in public key and primality testing

# Euler Totient Function $\phi(n)$

- when doing arithmetic modulo  $n$
- **complete set of residues** is:  $0 \dots n-1$
- **reduced set of residues** is those numbers (residues) which are relatively prime to  $n$ 
  - eg for  $n=10$ ,
  - complete set of residues is  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
  - reduced set of residues is  $\{1, 3, 7, 9\}$
- number of elements in reduced set of residues is called the **Euler Totient Function  $\phi(n)$**

# Euler Totient Function $\phi(n)$

- to compute  $\phi(n)$  need to count number of elements to be excluded
- in general need prime factorization, but
  - for  $p$  ( $p$  prime)  $\phi(p) = p-1$
  - for  $p \cdot q$  ( $p, q$  prime)  $\phi(p \cdot q) = (p-1)(q-1)$
- eg.
  - $\phi(37) = 36$
  - $\phi(21) = (3-1) \times (7-1) = 2 \times 6 = 12$

# Euler's Theorem

- a generalisation of Fermat's Theorem
- $a^{\phi(n)} \pmod N = 1$ 
  - where  $\gcd(a, N) = 1$
- eg.
  - $a=3; n=10; \phi(10)=4;$
  - hence  $3^4 = 81 = 1 \pmod{10}$
  - $a=2; n=11; \phi(11)=10;$
  - hence  $2^{10} = 1024 = 1 \pmod{11}$

# Primality Testing

- often need to find large prime numbers
- traditionally **sieve** using **trial division**
  - ie. divide by all numbers (primes) in turn less than the square root of the number
  - only works for small numbers
- alternatively can use statistical primality tests based on properties of primes
  - for which all primes numbers satisfy property
  - but some composite numbers, called pseudo-primes, also satisfy the property

# Miller Rabin Algorithm

- a test based on Fermat's Theorem
- algorithm is:

TEST ( $n$ ) is:

1. Find integers  $k, q, k > 0, q$  odd, so that  $(n-1) = 2^k q$
2. Select a random integer  $a, 1 < a < n-1$
3. **if**  $a^q \bmod n = 1$  **then** return ("maybe prime");
4. **for**  $j = 0$  **to**  $k - 1$  **do**
  5. **if**  $(a^{2^j q} \bmod n = n-1)$   
**then** return(" maybe prime ")
6. return ("composite")

# Probabilistic Considerations

- if Miller-Rabin returns “composite” the number is definitely not prime
- otherwise is a prime or a pseudo-prime
- chance it detects a pseudo-prime is  $< \frac{1}{4}$
- hence if repeat test with different random a then chance n is prime after t tests is:
  - $\Pr(n \text{ prime after } t \text{ tests}) = 1 - 4^{-t}$
  - eg. for  $t=10$  this probability is  $> 0.99999$

# Prime Distribution

- prime number theorem states that primes occur roughly every  $(\ln n)$  integers
- since can immediately ignore evens and multiples of 5, in practice only need test  $0.4 \ln(n)$  numbers of size  $n$  before locate a prime
  - note this is only the “average” sometimes primes are close together, at other times are quite far apart

# Chinese Remainder Theorem

- used to speed up modulo computations
- working modulo a product of numbers
  - eg. mod  $M = m_1 m_2 \dots m_k$
- Chinese Remainder theorem lets us work in each moduli  $m_i$  separately
- since computational cost is proportional to size, this is faster than working in the full modulus  $M$

# Chinese Remainder Theorem

- can implement CRT in several ways
- to compute  $(A \bmod M)$  can firstly compute all  $(a_i \bmod m_i)$  separately and then combine results to get answer using:

$$A \equiv \left( \sum_{i=1}^k a_i c_i \right) \bmod M$$

$$c_i = M_i \times \left( M_i^{-1} \bmod m_i \right) \quad \text{for } 1 \leq i \leq k$$

# Primitive Roots

- from Euler's theorem have  $a^{\phi(n)} \bmod n = 1$
- consider  $a^m \bmod n = 1$ ,  $\text{GCD}(a, n) = 1$ 
  - must exist for  $m = \phi(n)$  but may be smaller
  - once powers reach  $m$ , cycle will repeat
- if smallest is  $m = \phi(n)$  then  $a$  is called a **primitive root**
- if  $p$  is prime, then successive powers of  $a$  "generate" the group  $\bmod p$
- these are useful but relatively hard to find

# Discrete Logarithms or Indices

- the inverse problem to exponentiation is to find the **discrete logarithm** of a number modulo  $p$
- that is to find  $x$  where  $a^x = b \pmod{p}$
- written as  $x = \log_a b \pmod{p}$  or  $x = \text{ind}_{a,p}(b)$
- if  $a$  is a primitive root then always exists, otherwise may not
  - $x = \log_3 4 \pmod{13}$  ( $x$  st  $3^x = 4 \pmod{13}$ ) has no answer
  - $x = \log_2 3 \pmod{13} = 4$  by trying successive powers
- whilst exponentiation is relatively easy, finding discrete logarithms is generally a **hard** problem

# Summary

- have considered:
  - prime numbers
  - Fermat's and Euler's Theorems
  - Primality Testing
  - Chinese Remainder Theorem
  - Discrete Logarithms